

LIST OF MPF GUIDELINES AND CODES*(As at 31 March 2000)*

Guideline No.	Name of Guidelines	Version Date
Part I Guidelines on Licensing		
I.1	Guidelines on Application for Approval as Trustees	12/98
I.2	Guidelines on Application for Registration of Provident Fund Schemes	12/98
I.3	Guidelines on Custodians	12/98
I.4	Guidelines on Eligible Insurers	12/98
I.5	Guidelines on Application for Approval of Constituent Funds	12/98
I.6	Guidelines on Application for Approval of Pooled Investment Funds	12/98
I.7	Guidelines on Central Securities Depositories	5/99
Part II Guidelines on Reporting Requirements		
II.1	Guidelines on Monthly Returns of Registered Schemes	2/99
II.2	Guidelines on Monthly Returns of Approved Pooled Investment Funds being Capital Preservation Funds	2/99
II.3	Guidelines on Quarterly Returns of Constituent Funds	2/99
II.4	Guidelines on Annual Statements of Registered Schemes	5/99
II.5	Guidelines on Annual Statements of Approved Pooled Investment Funds	5/99
II.6	Guidelines on Internal Control Report for each Registered Scheme	5/99
Part III Guidelines on Investment		
III.1	Guidelines on Debt Securities	2/99
III.2	Guidelines on Other Securities	2/99
III.3	Guidelines on Eligible Overseas Banks	2/99
III.4	Guidelines on Recognized Exchanges	3/99
III.5	Guidelines on Investment Managers	6/99
III.6	Guidelines on Capital Preservation Funds	12/99
III.7	Guidelines on Security Lending	3/99
III.8	Guidelines on Repurchase Agreements	3/99
Part IV Guidelines on Scheme Operations		
IV.1	Guidelines on Disclosure of Annual Fees	3/00
IV.2	Guidelines on Reports Relating to Payment of Mandatory Contributions	3/99
IV.3	Guidelines on Election Forms for Transfer of Accrued Benefits	4/99
IV.4	Guidelines on Payment of Accrued Benefits – Documents to be Submitted to Approved Trustees	4/99
IV.5	Guidelines on Payment of Accrued Benefits – Permanent Departure from Hong Kong	4/99
IV.6	Guidelines on Notice for Unclaimed Benefits	4/99
IV.7	Guidelines on Fees for Portability	5/99

Part V Guidelines on ORSO Interface

V.1	Guidelines on MPF Exempted ORSO Schemes – Application for Exemption of ORSO Exempted Schemes	12/99
V.2	Guidelines on MPF Exempted ORSO Schemes – Application for Exemption of ORSO Registered Schemes	12/99
V.3	Guidelines on MPF Exempted ORSO Schemes – Treatment of Accrued Rights of Existing Members who join MPF Schemes	4/99
V.4	Guidelines on MPF Exempted ORSO Schemes – Preservation of Benefits	4/99
V.5	Guidelines on MPF Exempted ORSO Schemes – Illustrative Examples	5/99
V.6	Guidelines on MPF Exempted ORSO Schemes – Application for Approval of Appointment of Trustees	6/99
V.7	Guidelines on MPF Exempted ORSO Schemes – Application for Approval of Appointment of Directors of Trustees	6/99

Others

	Code of Conduct for MPF Intermediaries	1/00
	Code on MPF Investment Funds	12/99