

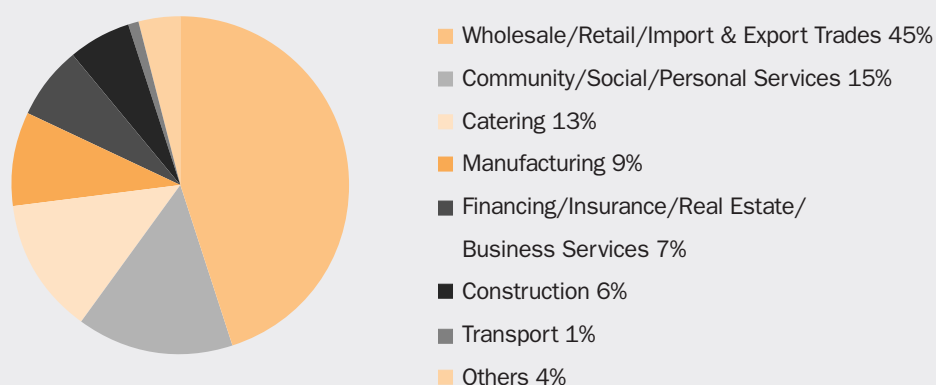
Part E: Enforcement

1. Proactive Inspection Carried out by District *I.4.2001-3I.3.2002*

District	Number	%
Central & Western	189	5
Eastern	142	4
Islands	0	0
Kowloon City	34	1
Kwai Tsing	95	3
Kwun Tong	460	13
Northern	174	5
Sai Kung	133	4
Sha Tin	196	6
Sham Shui Po	415	12
Southern	75	2
Tai Po	309	9
Tsuen Wan	347	10
Tuen Mun	248	7
Wan Chai	38	1
Wong Tai Sin	77	2
Yau Tsim Mong	303	9
Yuen Long	321	9
Total	3 556	100

2. Proactive Inspections Carried out by Business Nature

I.4.2001-3I.3.2002



3. Proactive Inspections Carried out by Results *I.4.2001-3I.3.2002*

Inspection Results	Number	%
No further action required	3 115	87.6
Follow-up action required	441	12.4
<i>No further action required after investigation</i>	313	8.8
<i>Rectified</i>	36	1.0
<i>Investigation in progress</i>	92	2.6
Total	3 556	100.0

4. Number of Default Contribution Notices Issued

I.4.2001-3I.3.2002

Month*	1st Notice @ 15% p.a.	2nd Notice @ 20% p.a.	Total
AUG 2001	9 495	0	9 495
SEP	7 899	2 134	10 033
OCT	9 599	7 338	16 937
NOV	17 619	5 132	22 751
DEC	6 771	6 771	13 542
JAN 2002	20 920	8 844	29 764
FEB	6 107	10 307	16 414
MAR	17 394	12 258	29 652
Total	95 804	52 784	148 588

* No default contribution notices were issued prior to Aug 2001.

5. Number of Investigation Cases by Type of Alleged Offences by Month I.4.2001-3I.3.2002

Alleged Offences

Month	Reduction of Wages	Forced to change to SEP	Non- enrolment	Default contribution	Failed to issue Monthly Pay Record	Others	Total*
APR 2001	54	7	110	236	77	18	446
MAY	62	8	146	397	97	38	618
JUN	67	7	125	324	46	37	485
JUL	55	11	126	309	36	21	461
AUG	65	13	155	497	82	27	674
SEP	68	8	157	433	43	34	569
OCT	64	5	143	573	41	32	672
NOV	65	15	141	536	35	40	620
DEC	58	5	124	489	20	32	567
JAN 2002	50	2	176	710	18	32	779
FEB	33	2	118	487	10	29	531
MAR	49	1	170	775	14	28	822
Total	690	84	1 691	5 766	519	368	7 244

* As one case may be related to several types of alleged offences, figures may not sum up to the row total.

6. Number of Summons Applications Referred to the Police – by Nature of Offences Committed and by Results

1.4.2001–31.3.2002

Prosecution Status as at 31.3.2002

Nature of Offences	Guilty	Not Guilty	Not yet available	Withdrawn*	Total Number of Summonses Applied
Non-enrolment <i>Employee/Self-employed Person dispute</i>	48	1	19	7	75
<i>Non-Enrolment of Employee</i>	25	0	0	4	29
	23	1	19	3	46
Contributions in arrears	110	0	205	9	324
Making a false or misleading statement	7	0	0	1	8
Total	165	1	224	17	407

* Summonses could not be effectively served by Police or Bailiff, as defendants had moved away, closed, or became untraceable.

7. Number of Applications Lodged to Small Claims Tribunal and Liquidator *1.4.2001–31.3.2002**

	No. of Cases	Related no. of employees
Lodged to Small Claims Tribunal		
<i>Adjudicator ordered defendant to make payment and disbursement</i>	10	70
<i>Pending hearing</i>	11	40
Sub-total	21	110
Lodged to liquidator	22	538
Total	43	648

* The 1st application lodged to Small Claims Tribunal was on 18.10.2001 and the 1st application lodged to liquidator was on 20.11.2001.