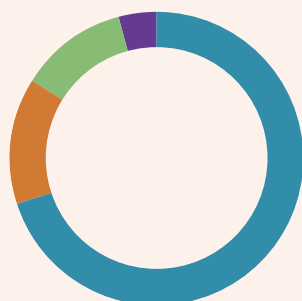


## PART A — MPF SCHEME MEMBERS

## 1. EMPLOYED POPULATION BY TYPE OF RETIREMENT SCHEMES

(as at 31.3.2013)



	Number of Persons	
		('000)
70%	● Joined MPF schemes	2 595
14%	● Joined other retirement schemes	509
12%	● Not required to join any local retirement schemes	427
4%	● Should join but have not yet joined any MPF schemes	159

## 2. THE MPF UNIVERSE

(as at 31.3.2013)

## Employers under the MPF System

	('000)
Number of main businesses <sup>1</sup>	345
Add	
— Number of owners' corporations with employee(s) that are not covered in the Central Register of Establishments ("CRE") <sup>2</sup>	4
— Number of employers engaged in other industries that are not covered in the CRE	6
Less	
— Number of businesses with no employees <sup>3</sup>	96
<b>Number of Employers under the MPF System*</b>	<b>259</b>

\* Figures may not sum up to the total due to rounding.

The figures were estimated on the basis of:

1 Statistics obtained from the Central Register of Establishments and the Survey of Employment and Vacancies by the Census and Statistics Department.

2 Figures provided by the Land Registry.

3 Statistics obtained from the Survey of Employment and Vacancies by the Census and Statistics Department.

## Relevant Employees under the MPF System

Employees who are 18 years old or over but under 65 years of age are required to join an MPF scheme, with the exception of certain exempt persons. The table below shows the process of calculating the number of relevant employees under the MPF System.

	('000)
Total number of employees in Hong Kong (excluding those aged below 18 or above 65) <sup>1</sup>	3 279
Less	
— Number of civil servants who are covered by the Civil Service Pension System <sup>2</sup>	119
— Number of teachers who are covered by the Grant Schools or Subsidized Schools Provident Fund <sup>3</sup>	38
— Number of employees who choose to remain as members of MPF exempted ORSO registered schemes <sup>4</sup>	352
— Number of domestic employees <sup>1</sup>	291
— Number of expatriates who do not have the right of abode in Hong Kong and are covered by overseas retirement schemes or who work in Hong Kong for not more than 13 months <sup>5</sup>	47
— Number of employees who are employed for less than 60 days, excluding employees participating in construction and catering industries <sup>6</sup>	17
<b>Number of Relevant Employees under the MPF System*</b>	<b>2 415</b>

\* Figures may not sum up to the total due to rounding.

The figures were estimated on the basis of:

1 Statistics obtained from the General Household Survey by the Census and Statistics Department.

2 Figures published by the Civil Service Bureau.

3 Figures published by the Education Bureau.

4 Figures reported by employers of MPF exempted ORSO registered schemes.

5 Figures published by the Immigration Department.

6 Figures obtained from a special topic enquiry conducted via the General Household Survey in Q2 2009 by the Census and Statistics Department.

PART A — MPF SCHEME MEMBERS (CONTINUED)

**Self-employed Persons (“SEPs”) under the MPF System**

SEPs who are 18 years old or over but under 65 years of age are required to join an MPF scheme, with the exception of certain exempt persons. The table below shows the process of calculating the number of SEPs under the MPF System.

	('000)
Total number of SEPs in Hong Kong (excluding those aged below 18 or above 65) <sup>1</sup>	342
Less	
— Number of SEPs who are licensed hawkers <sup>2</sup> (excluding licensed hawkers aged below 18 or above 65)	3
<b>Number of SEPs under the MPF System*</b>	<b>339</b>

\* Figures may not sum up to the total due to rounding.

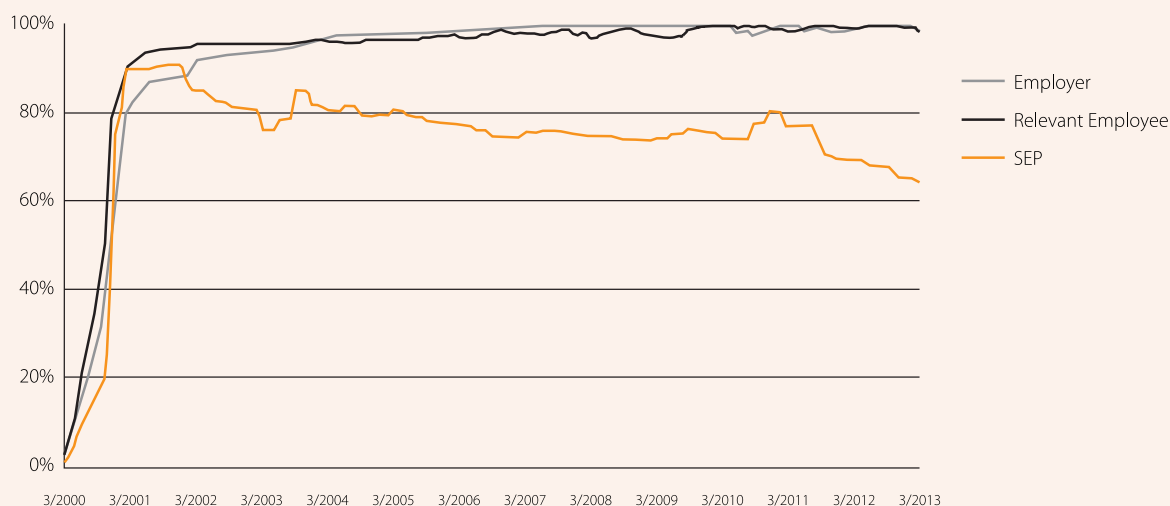
The figures were estimated on the basis of:

1 Statistics obtained from the General Household Survey by the Census and Statistics Department. SEPs under the MPF System include both “self-employed persons” and “employers” as defined in the *Quarterly Report on General Household Survey*.

2 Statistics obtained from the General Household Survey by the Census and Statistics Department.

**3. ENROLMENT IN MPF SCHEMES\***

**Enrolment Rate**



\* Estimated figures

**4. NUMBER OF PARTICIPATING MEMBERS AND ENROLMENT RATES\***

As at	Employer		Relevant Employee		SEP		Number of Personal Accounts <sup>2</sup> ('000)
	Participating Employers <sup>1</sup> ('000)	Enrolment Rate (%)	Participating Members <sup>1</sup> ('000)	Enrolment Rate (%)	Participating Members <sup>1</sup> ('000)	Enrolment Rate (%)	
31.03.2012	254	98	2 347	99	229	69	4 035
30.06.2012	257	99	2 353	100	228	68	4 104
30.09.2012	260	100	2 361	100	228	68	4 178
31.12.2012	260	100	2 375	99	220	65	4 290
31.03.2013	259	100	2 376	98	219	65	4 380

\* Estimated figures

1 As the MPF System is an employment-based system, some employers and members may be participating in more than one scheme. Adjustments have been made for employers and members who are participating in more than one scheme in the same capacity.

2 Since 1 November 2012, Preserved Account has been renamed as Personal Account.

## 5A. CONTRIBUTIONS RECEIVED AND BENEFITS PAID — MPF SCHEMES

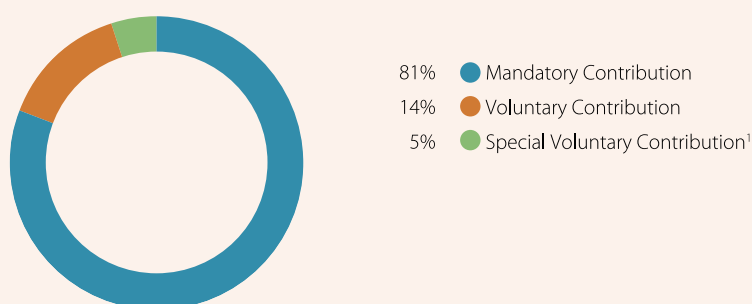
(1.4.2012–31.3.2013)

(HK\$ million)

Quarter	Contributions Received				Benefits Paid			
	Mandatory	Voluntary	Special Voluntary <sup>1</sup>	Total <sup>2</sup>	Mandatory	Voluntary	Special Voluntary <sup>1</sup>	Total <sup>2</sup>
Q2 2012	9,051	1,672	612	11,336	1,564	560	530	2,653
Q3 2012	9,948	1,597	645	12,190	1,691	619	585	2,895
Q4 2012	10,196	1,678	750	12,624	1,936	752	685	3,373
Q1 2013	10,902	1,783	756	13,441	2,023	877	699	3,600
<b>Total<sup>2</sup></b>	<b>40,098</b>	<b>6,731</b>	<b>2,763</b>	<b>49,592</b>	<b>7,215</b>	<b>2,808</b>	<b>2,499</b>	<b>12,522</b>

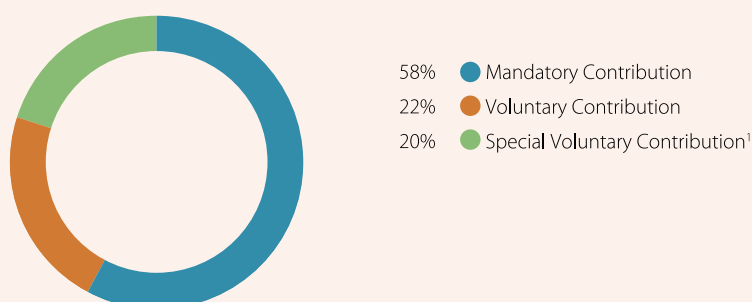
## 5B. PERCENTAGE SHARE OF CONTRIBUTIONS RECEIVED BY CONTRIBUTION TYPE

(1.4.2012–31.3.2013)



## 5C. PERCENTAGE SHARE OF BENEFITS PAID BY CONTRIBUTION TYPE

(1.4.2012–31.3.2013)



<sup>1</sup> "Special Voluntary Contributions" refers to voluntary contributions paid directly by a relevant employee to the trustee. Unlike general voluntary contributions, these contributions are non-employment related, i.e. contributions do not go through his/her employer, and withdrawal of accrued benefits is neither tied to employment nor subject to preservation requirements.

<sup>2</sup> Figures may not sum up to the total due to rounding.

## PART B — MPF PRODUCTS

### 1A. NET ASSET VALUES OF APPROVED CONSTITUENT FUNDS BY SCHEME TYPE

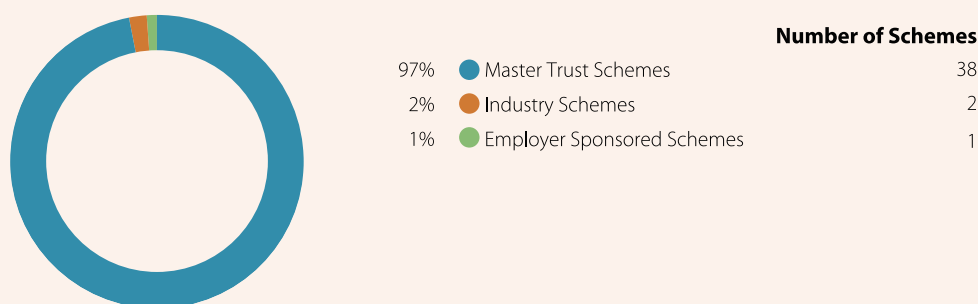
(HK\$ million)

As at	Type of MPF Schemes			Total <sup>1</sup>
	Master Trust Schemes	Industry Schemes	Employer Sponsored Schemes	
31.03.2012	380,168	7,613	2,963	390,744
30.06.2012	373,758	7,629	2,942	384,329
30.09.2012	401,277	8,086	3,116	412,480
31.12.2012	428,117	8,482	3,240	439,839
31.03.2013	443,222	8,754	3,355	455,331

<sup>1</sup> Figures may not sum up to the total due to rounding.

### 1B. PERCENTAGE SHARE OF NET ASSET VALUES AND NUMBER OF SCHEMES BY SCHEME TYPE

(as at 31.3.2013)



### 2A. NET ASSET VALUES<sup>1</sup> OF APPROVED CONSTITUENT FUNDS BY FUND TYPE

(HK\$ million)

As at	Type of Approved Constituent Funds						Total <sup>3</sup>
	Mixed Assets Fund	Equity Fund	MPF Conservative Fund	Guaranteed Fund	Bond Fund	Money Market Fund and Others <sup>2</sup>	
31.03.2012	163,029	135,429	45,733	36,771	8,382	1,401	390,744
30.06.2012	158,307	132,127	46,301	37,269	8,916	1,409	384,329
30.09.2012	169,341	145,880	47,473	38,537	9,811	1,438	412,480
31.12.2012	177,946	161,001	48,619	39,818	10,972	1,482	439,839
31.03.2013	184,502	167,440	49,464	40,739	11,636	1,550	455,331

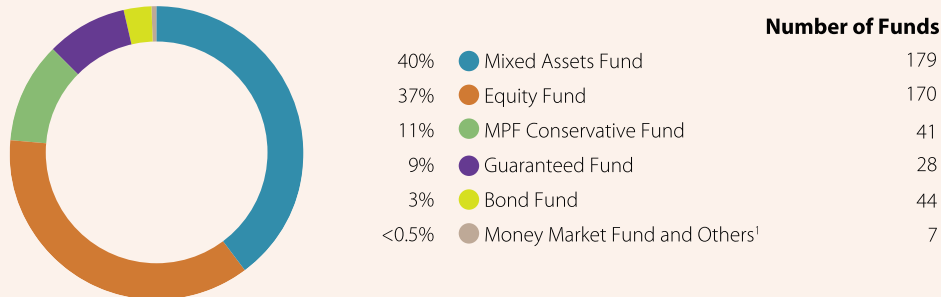
<sup>1</sup> The figures include assets transferred from ORSO schemes.

<sup>2</sup> Includes Money Market Funds that are not MPF Conservative Funds and Uncategorized Funds as per the *Performance Presentation Standards for MPF Investment Funds*.

<sup>3</sup> Figures may not sum up to the total due to rounding.

## 2B. PERCENTAGE SHARE OF NET ASSET VALUES AND NUMBER OF APPROVED CONSTITUENT FUNDS BY FUND TYPE

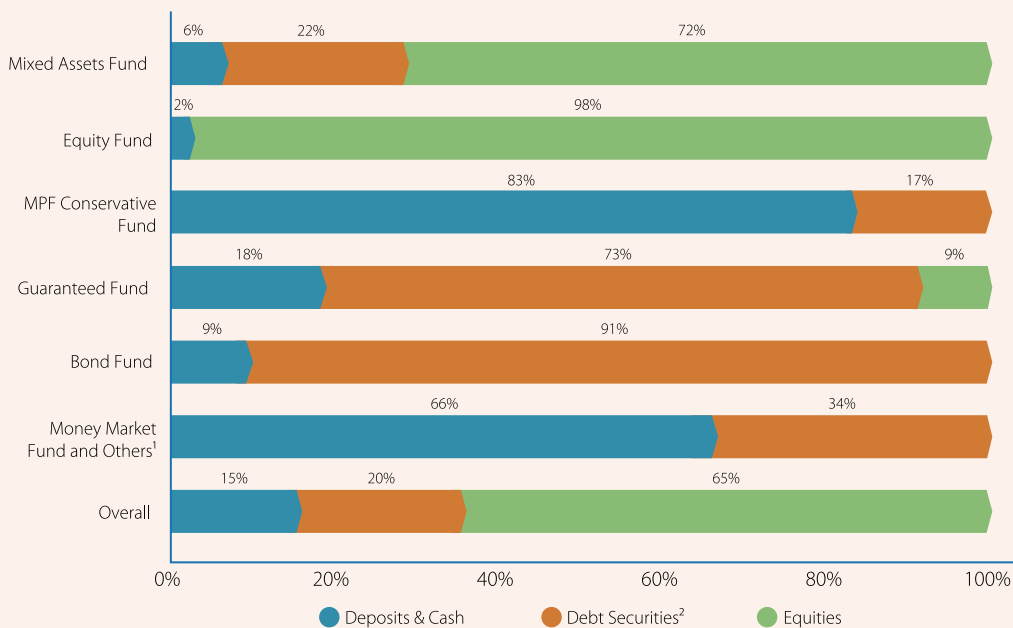
(as at 31.3.2013)



<sup>1</sup> Includes Money Market Funds that are not MPF Conservative Funds and Uncategorized Funds as per the *Performance Presentation Standards for MPF Investment Funds*.

## 3 ASSET ALLOCATION OF APPROVED CONSTITUENT FUNDS BY FUND TYPE AND ASSET CLASS

(as at 31.3.2013)



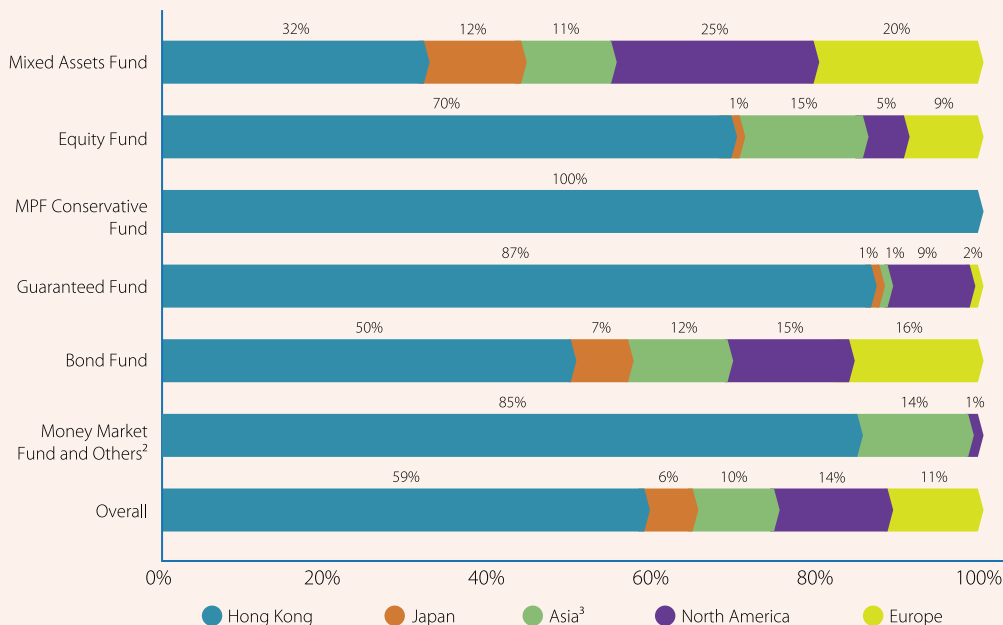
<sup>1</sup> Includes Money Market Funds that are not MPF Conservative Funds and Uncategorized Funds as per the *Performance Presentation Standards for MPF Investment Funds*.

<sup>2</sup> Includes convertible debt securities.

PART B — MPF PRODUCTS (CONTINUED)

4. ASSET ALLOCATION OF APPROVED CONSTITUENT FUNDS BY FUND TYPE AND GEOGRAPHICAL REGION<sup>1</sup>

(as at 31.3.2013)



- 1 For deposits, cash and debt securities, "Geographical Region" reflects the currency of denomination of the respective accounts and debt securities. For equities, "Geographical Region" reflects the country of primary listing of the equities.
- 2 Includes Money Market Funds that are not MPF Conservative Funds and Uncategorized Funds as per the *Performance Presentation Standards for MPF Investment Funds*.
- 3 Excludes Japan and Hong Kong but includes Australia, New Zealand and India.

5. ASSET ALLOCATION OF APPROVED CONSTITUENT FUNDS BY GEOGRAPHICAL REGION<sup>1</sup> AND ASSET CLASS

(as at 31.3.2013)

	Deposits & Cash	Debt Securities <sup>2</sup>	Equities	Overall
Hong Kong	14%	9%	36%	59%
Japan	§	2%	4%	6%
Asia <sup>3</sup>	§	1%	9%	10%
North America	1%	5%	8%	14%
Europe	§	3%	8%	11%
<b>Overall</b>	<b>15%</b>	<b>20%</b>	<b>65%</b>	<b>100%</b>

- 1 For deposits, cash and debt securities, "Geographical Region" reflects the currency of denomination of the respective accounts and debt securities. For equities, "Geographical Region" reflects the country of primary listing of the equities.
  - 2 Includes convertible debt securities.
  - 3 Excludes Japan and Hong Kong but includes Australia, New Zealand and India.
- § Less than 0.5%.

## 6. ANNUALIZED INTERNAL RATE OF RETURN<sup>1</sup> OF THE MPF SYSTEM BY PERIOD

(HK\$ million, unless otherwise specified)

Period	Net Asset Values		Total Net Contributions	Net Investment Return <sup>3</sup>	Annualized Internal Rate of Return <sup>3</sup>
	Period-Beginning (a)	Period-End (b)	during the Period <sup>2</sup> (c)	during the Period (b)-(a)-(c)	
1.12.2000 – 31.3.2002	—	42,125	43,878	-1,753	-4.9%
1.4.2002 – 31.3.2003	42,125	59,305	23,016	-5,837	-10.7%
1.4.2003 – 31.3.2004	59,305	97,041	22,133	15,604	22.0%
1.4.2004 – 31.3.2005	97,041	124,316	22,205	5,070	4.7%
1.4.2005 – 31.3.2006	124,316	164,613	23,435	16,862	12.3%
1.4.2006 – 31.3.2007	164,613	211,199	24,684	21,901	12.4%
1.4.2007 – 31.3.2008	211,199	248,247	26,844	10,205	4.5%
1.4.2008 – 31.3.2009	248,247	217,741	38,503 <sup>4</sup>	-69,010	-25.9%
1.4.2009 – 31.3.2010	217,741	317,310	29,484 <sup>4</sup>	70,086	30.1%
1.4.2010 – 31.3.2011	317,310	378,280	31,864 <sup>4</sup>	29,106	8.7%
1.4.2011 – 31.3.2012	378,280	390,744	34,687	-22,224	-5.6%
<b>1.4.2012 – 31.3.2013</b>	<b>390,744</b>	<b>455,331</b>	<b>38,321</b>	<b>26,267</b>	<b>6.4%</b>

### Since Inception of the MPF System

<b>1.12.2000 – 31.3.2013</b>	<b>—</b>	<b>455,331</b>	<b>359,054<sup>4</sup></b>	<b>96,277</b>	<b>4.0%</b>
------------------------------	----------	----------------	----------------------------	---------------	-------------

1 The return of the MPF System was calculated by way of the internal rate of return ("IRR"), a method commonly known as dollar-weighted return. The IRR method, which takes into account the amount and timing of contributions into and benefits withdrawn from the MPF System, was used as it better reflects the features of cash inflow and outflow of the MPF System. The annualized IRR was calculated by raising the monthly IRR to the power of 12.

2 "Total Net Contributions during the Period" refers to the net contribution inflow after deducting the amount of benefits paid during the period.

3 Return figures are **net of fees and charges**. Figures may not sum up to the total due to rounding.

4 Includes the Government's injection of special contributions into accounts of eligible scheme members.

## 7. ANNUALIZED RETURN<sup>1</sup> OF APPROVED CONSTITUENT FUNDS BY FUND TYPE AND PERIOD

(as at 31.3.2013)

Type of Approved Constituent Funds	Past 1 year	Past 3 years	Past 5 years	Since 1.12.2000
Mixed Assets Fund	6.7%	3.7%	1.1%	4.2%
Equity Fund	9.7%	3.2%	1.1%	4.6%
MPF Conservative Fund	0.1%	0.1%	0.2%	1.0%
Guaranteed Fund	1.6%	1.3%	0.9%	1.5%
Bond Fund	1.6%	3.1%	2.4%	3.7%
Money Market Fund and Others <sup>2</sup>	0.2%	-0.2%	-0.3%	0.7%

### Change of the Consumer Price Index ("CPI") for the Same Periods

Annualized Composite CPI % Change <sup>3</sup>	3.6%	4.3%	3.2%	1.4%
--	------	------	------	------

1 Return figures are **net of fees and charges**. Returns of different types of constituent funds were calculated by way of time-weighted method. This time-weighted method takes into account the unit price and asset size of each constituent fund at different points in time. Unlike the IRR method, it does not capture the impact of the contributions into and benefits withdrawn from the constituent funds. The annualized return was calculated by raising the monthly return to the power of 12.

2 Includes Money Market Funds that are not MPF Conservative Funds and Uncategorized Funds as per the *Performance Presentation Standards for MPF Investment Funds*.

3 Calculated on the basis of the 2009/10-based Composite CPI compiled by the Census and Statistics Department.

PART B — MPF PRODUCTS (CONTINUED)

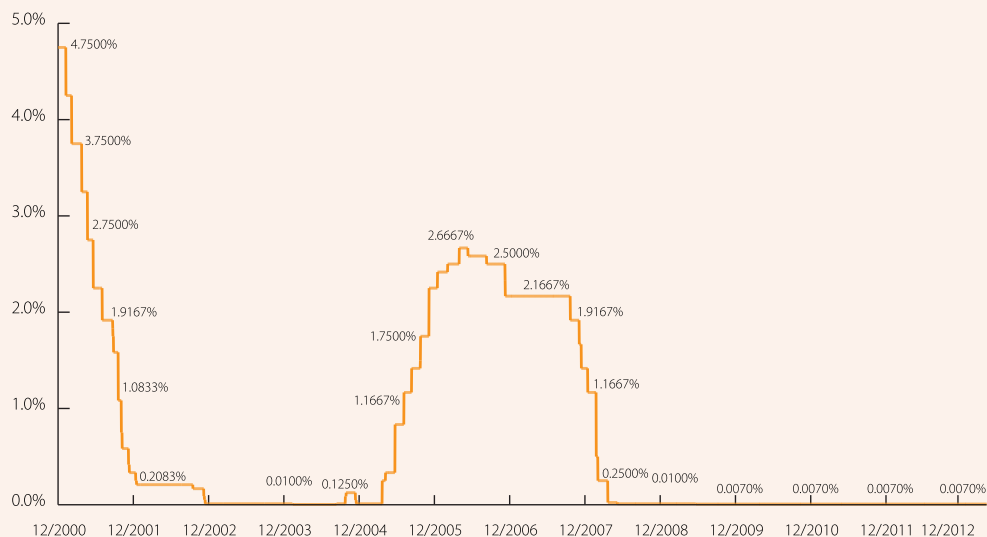
8. AVERAGE, HIGHEST AND LOWEST FUND EXPENSE RATIOS (“FER”) OF ALL CONSTITUENT FUNDS<sup>1</sup>

Fund Type	Number of Funds	Average FER	Highest FER	Lowest FER
Equity Fund	194	1.74%	2.96%	0.51%
Mixed Assets Fund	216	1.90%	4.32%	0.44%
Bond Fund	49	1.60%	2.74%	0.98%
Guaranteed Fund	32	2.16%	3.86%	1.33%
Money Market Fund — MPF Conservative Fund	47	0.67%	1.43%	0.17%
Money Market Fund — non MPF Conservative Fund	5	1.13%	1.13%	1.13%
Others	4	1.39%	1.43%	1.33%
<b>Overall</b>	<b>547<sup>2</sup></b>	<b>1.72%</b>	<b>4.32%</b>	<b>0.17%</b>

- 1 The FER figures in the table are related to individual constituent funds of MPF registered schemes with financial year end dates falling within the period from 1 July 2011 to 30 June 2012.
- 2 A constituent fund may comprise different fund classes. For the purpose of calculating the FER, each fund class of a constituent fund is in effect treated as a separate investment fund. As a result, the total number of funds shown here may be larger than the actual number of constituent funds.

9. PUBLISHED PRESCRIBED SAVINGS RATES<sup>1</sup>

(1.12.2000–31.3.2013)



- 1 The prescribed savings rates are prescribed by MPFA pursuant to section 37(8) of the Mandatory Provident Fund Schemes (General) Regulation for the operation of MPF Conservative Funds.

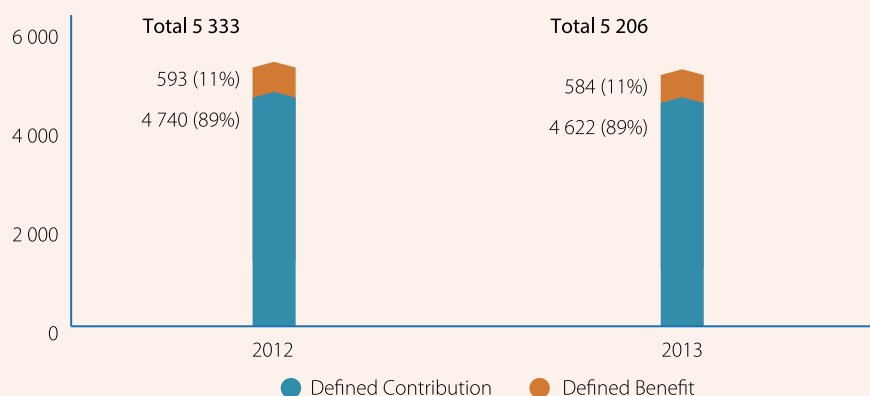


## PART C — ORSO SCHEMES

### ORSO SCHEMES

#### 1. NUMBER OF ORSO SCHEMES BY BENEFIT TYPE

(two year comparison)



#### 2. NUMBER OF ORSO SCHEMES BY BENEFIT TYPE

(as at 31.3.2013)

Scheme Type	Benefit Type				Total	
	Defined Contribution		Defined Benefit		Number of Schemes	%
	Number of Schemes	%	Number of Schemes	%	Number of Schemes	%
<b>Registered Scheme</b>						
— MPF exempted	3 452	75	226	39	3 678	71
— Non-MPF exempted	626	14	24	4	650	12
	4 078	89	250	43	4 328	83
<b>Exempted Scheme</b>						
— MPF exempted	152	3	118	20	270	5
— Non-MPF exempted	392	8	216	37	608	12
	544	11	334	57	878	17
<b>Total</b>	<b>4 622</b>	<b>100</b>	<b>584</b>	<b>100</b>	<b>5 206</b>	<b>100</b>

PART C — ORSO SCHEMES (CONTINUED)

MPF EXEMPTED ORSO SCHEMES

3. NUMBER OF MPF EXEMPTED ORSO SCHEMES

(as at 31.3.2013)

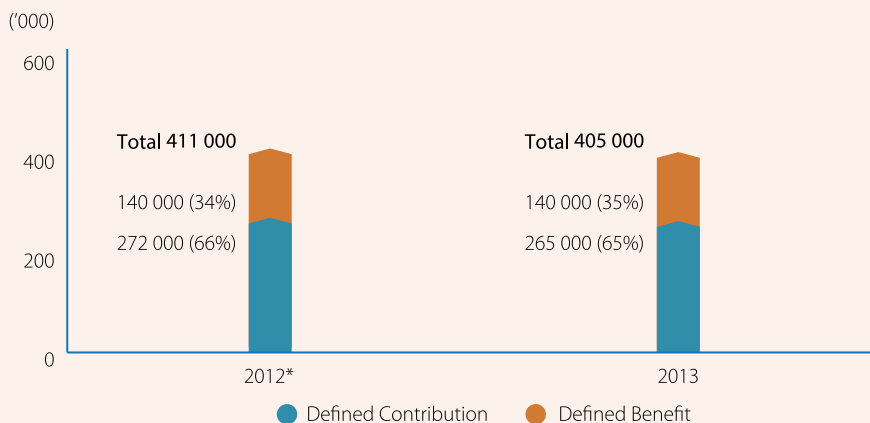
	ORSO Registered Schemes	ORSO Exempted Schemes	Total
(a) Number of MPF Exempted ORSO Schemes approved as at 31 March 2012	3 783	279	4 062
(b) Number of new applications approved during the period from 1 April 2012 to 31 March 2013 <sup>1</sup>	5	0	5
(c) Number of withdrawals of MPF Exemption Certificates during the period from 1 April 2012 to 31 March 2013	110	9	119
<b>(d) Number of MPF Exempted ORSO Schemes as at 31 March 2013 [i.e. (d) = (a) + (b)–(c)]</b>	<b>3 678</b>	<b>270</b>	<b>3 948</b>

1 This refers to the application for MPF exemption in respect of newly established ORSO registered schemes whereby all or a substantial portion of the members and assets of the schemes were transferred from one or more MPF exempted ORSO schemes as a result of scheme restructuring or bona fide business transactions.

ORSO REGISTERED SCHEMES

4. NUMBER OF MEMBERS COVERED BY ORSO REGISTERED SCHEMES BY BENEFIT TYPE

(two year comparison)



\* Figures may not sum up to the total due to rounding.

5. NUMBER OF MEMBERS COVERED BY ORSO REGISTERED SCHEMES BY BENEFIT TYPE

(as at 31.3.2013)

Scheme Type	Benefit Type				Total	
	Defined Contribution		Defined Benefit		('000)	%
	('000)	%	('000)	%		
MPF exempted	227	63	134	37	361	100
Non-MPF exempted	38	86	6	14	44	100
<b>Total</b>	<b>265</b>	<b>65</b>	<b>140</b>	<b>35</b>	<b>405</b>	<b>100</b>

## CONTRIBUTION AMOUNT

### 6. ANNUAL CONTRIBUTION AMOUNT TO ORSO REGISTERED SCHEMES BY EMPLOYERS AND EMPLOYEES

(as at 31.3.2013)

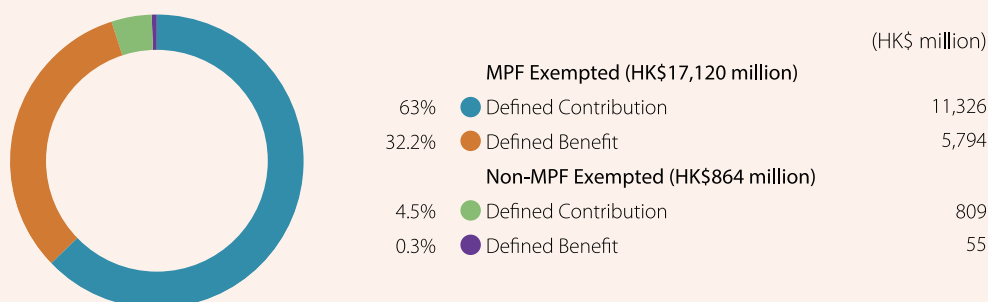
	MPF Exempted		Non-MPF Exempted*		Total	
	(HK\$ million)	%	(HK\$ million)	%	(HK\$ million)	%
<b>Employer's Contributions</b>						
— Ordinary	13,082	76	583	67	13,665	76
— Initial/Special	323	2	87	10	410	2
	13,405	78	670	78	14,075	78
<b>Employee's Contributions</b>	3,715	22	194	22	3,909	22
<b>Total Contributions</b>	<b>17,120</b>	<b>100</b>	<b>864</b>	<b>100</b>	<b>17,984</b>	<b>100</b>

Source: The latest annual returns in respect of 4 298 ORSO registered schemes

\* Figures may not sum up to the total due to rounding.

### 7. ANNUAL CONTRIBUTION AMOUNT TO ORSO REGISTERED SCHEMES BY BENEFIT TYPE

(as at 31.3.2013)

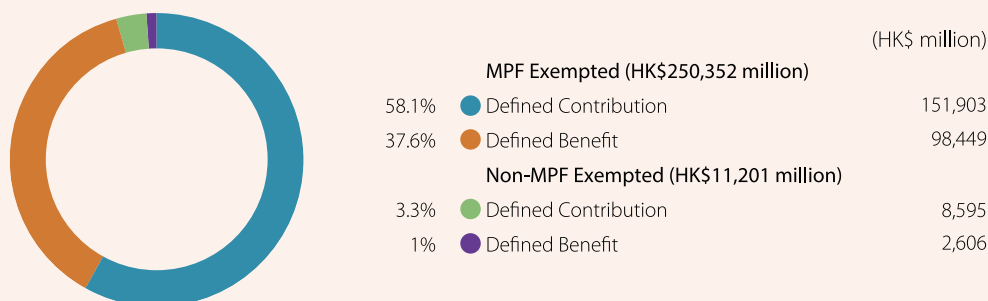


Contribution Amount: HK\$17,984 million

Source: The latest annual returns in respect of 4 298 ORSO registered schemes

### 8. ASSET SIZE OF ORSO REGISTERED SCHEMES BY BENEFIT TYPE

(as at 31.3.2013)



Asset Size: HK\$261,553 million

Source: The latest annual returns in respect of 4 298 ORSO registered schemes

## PART D — ENQUIRIES AND COMPLAINTS

### 1. ENQUIRIES RECEIVED\* BY ENQUIRER TYPE

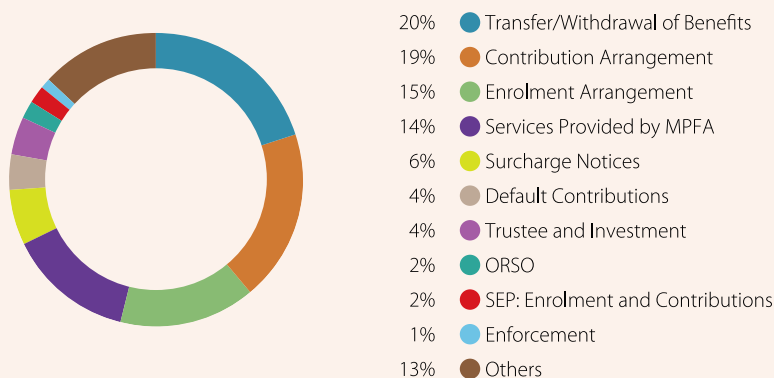
(1.4.2012–31.3.2013)

Enquirer Type	Number of Enquiries	%
Employee	50 397	43
Employer	43 911	37
Self-employed Person	2 203	2
Service Provider	4 231	4
Others/Unknown	16 896	14
<b>Total</b>	<b>117 638</b>	<b>100</b>

\* Excludes enquiries about personal account information. For details of personal account enquiries, please refer to Item 3 — Personal Account Enquiries Received by Enquirer Type.

### 2. NATURE OF ENQUIRIES\*

(1.4.2012–31.3.2013)



Total Number of Enquiry Nature: 169 635<sup>#</sup>

\* Excludes enquiries about personal account information. For details of personal account enquiries, please refer to Item 3 — Personal Account Enquiries Received by Enquirer Type.

# Since an enquiry may cover more than one enquiry nature, total number of enquiry nature may exceed the total number of enquiries.

### 3. PERSONAL ACCOUNT ENQUIRIES RECEIVED BY ENQUIRER TYPE

(1.4.2012–31.3.2013)

Enquirer Type	Number of Enquiries	%
Authorized Person of a Scheme Member	98 754	80
Scheme Member	24 253	20
Personal Representative of a Deceased Scheme Member	344	§
<b>Total</b>	<b>123 351</b>	<b>100</b>

§ Less than 0.5%

#### 4. COMPLAINTS RECEIVED BY INDUSTRY OF COMPLAINEE

(1.4.2012–31.3.2013)



Total Number of Complaints Received: 4 461

#### 5. COMPLAINTS RECEIVED BY COMPLAINEE TYPE

(1.4.2012–31.3.2013)

Complainee	Number of Complaints
Employers (MPF and ORSO)	4 010
MPF Trustees & Service Providers	347
MPF Intermediaries	24
ORSO Administrators	11
Others	69
<b>Total Number of Complaints Received</b>	<b>4 461</b>

#### 6. NATURE OF COMPLAINTS

(1.4.2012–31.3.2013)

Type of Allegations	Number of Allegations
Employers (MPF and ORSO)	6 168
— Default Contribution	3 471
— Non-enrolment	1 699
— Others	998
MPF Trustees & Service Providers	425
— Scheme Administration	387
— Others	38
MPF Intermediaries	28
— Conduct Issues	13
— Unregistered Activities	0
— Servicing & Others	15
ORSO Administrators	27
— Scheme Administration	21
— Others	6
Others	72
<b>Total Number of Allegations</b>	<b>6 720<sup>#</sup></b>

# Since a complaint may cover more than one allegation, total number of allegations may exceed the total number of complaints.

## PART E — ENFORCEMENT

## RELATING TO EMPLOYERS

## 1. NUMBER OF PAYMENT NOTICES ISSUED IN RESPECT OF DEFAULT CONTRIBUTION

(1.4.2012–31.3.2013)

Month	Number of Payment Notices Issued
April 2012	18 700
May 2012	22 200
June 2012	19 800
July 2012	19 500
August 2012	21 700
September 2012	20 600
October 2012	20 700
November 2012	21 200
December 2012	19 400
January 2013	21 800
February 2013	22 800
March 2013	23 600
<b>Total</b>	<b>252 000</b>

## 2. NUMBER OF CASES INVESTIGATED (INCLUDING COMPLAINT CASES AND CASES REPORTED BY TRUSTEES) BY TYPE OF ALLEGED OFFENCES

(1.4.2012–31.3.2013)

Alleged Offences	Number
Default contribution	35 763
Non-enrolment	1 699
Forced change to SEP	89
Others <sup>#</sup>	848
<b>Total*</b>	<b>36 306</b>

# Others include failure to notify trustees of termination of employment, evading MPF contributions by setting aside and labelling part of an employee's salary as housing allowance, failure to issue monthly pay record, etc.

\* As one case may be related to several types of alleged offences, figures may not sum up to the total.

## 3. NUMBER OF SUMMONS APPLICATIONS REFERRED TO THE POLICE BY NATURE OF OFFENCES COMMITTED AND RESULTS

(1.4.2012–31.3.2013)

Nature of Offences	Prosecution Status as at 31.3.2013				Total Number of Summonses Applied
	Guilty	Acquitted	Not yet available	Withdrawn*	
Contributions in arrears	918	0	468	87	1 473
Non-enrolment of employee	62	0	28	6	96
False statement	64	1	27	2	94
Failure to comply with court order	0	0	1	0	1
<b>Total</b>	<b>1 044</b>	<b>1</b>	<b>524</b>	<b>95</b>	<b>1 664</b>

\* Summonses could not be effectively served by Police or Bailiff, as the defendants had moved away, closed, become untraceable, wound up or become bankrupt.

#### 4. NUMBER OF APPLICATIONS LODGED WITH SMALL CLAIMS TRIBUNAL, DISTRICT COURT, BAILIFF AND LIQUIDATORS

(1.4.2012–31.3.2013)

	Number of Cases	Related Number of Employees
Lodged with the Small Claims Tribunal	313	1 432
Lodged with the District Court	47	1 184
Lodged with Bailiff	139	988
Lodged with Liquidators	123	1 783

#### 5. NUMBER OF GARNISHEE ORDERS APPLIED

(1.4.2012–31.3.2013):

88

#### 6. FINANCIAL PENALTY NOTICES ISSUED TO EMPLOYERS

(1.4.2012–31.3.2013)

Breach	Number of Financial Penalty Notices Issued	Amount of Financial Penalty
Breach of section 7A(8) of the Mandatory Provident Fund Schemes Ordinance (Failure to pay MPF contributions in respect of an employee to the approved trustee within the prescribed period)	31	HK\$190,000

#### RELATING TO MPF INTERMEDIARIES

#### 7. NUMBER OF CASES (INCLUDING COMPLAINT CASES AND DIRECT INVESTIGATION CASES) HANDLED BY MPFA

(1.11.2012–31.3.2013):

20

#### 8. NUMBER OF CASES PASSED TO FRONTLINE REGULATORS

(1.11.2012–31.3.2013):

6